



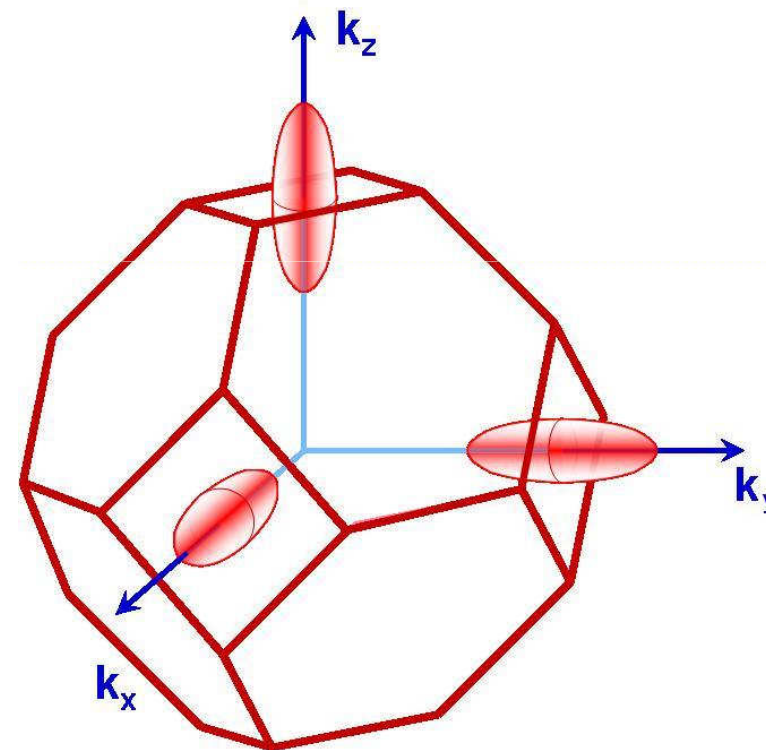
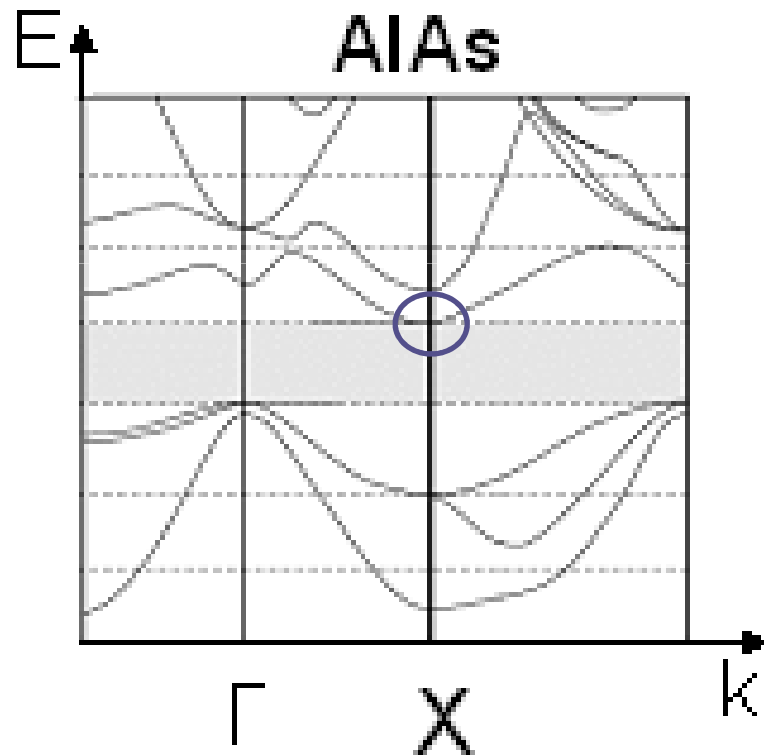
# Investigating valley degeneracy in AlAs two dimensional systems and split-gate structures

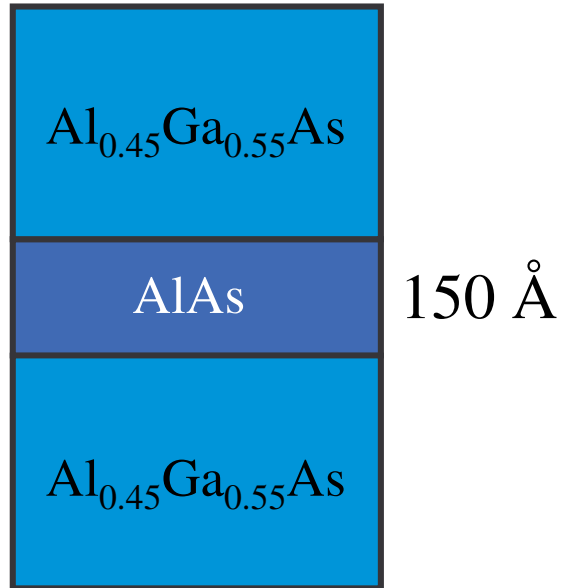
Claudius Knaak

- Introduction to 2D AlAs
- Optimization
  - (001) AlAs
  - (110) AlAs
- Gate induced strain in AlAs
  - Breaking valley degeneracy
  - Strain simulations with nextnano<sup>3</sup>

AIAs : indirect bandgap

Leads to a bulk valley degeneracy:



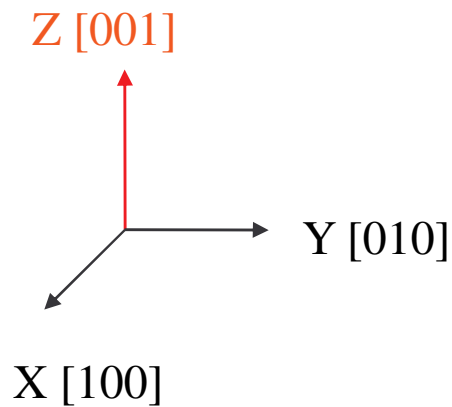


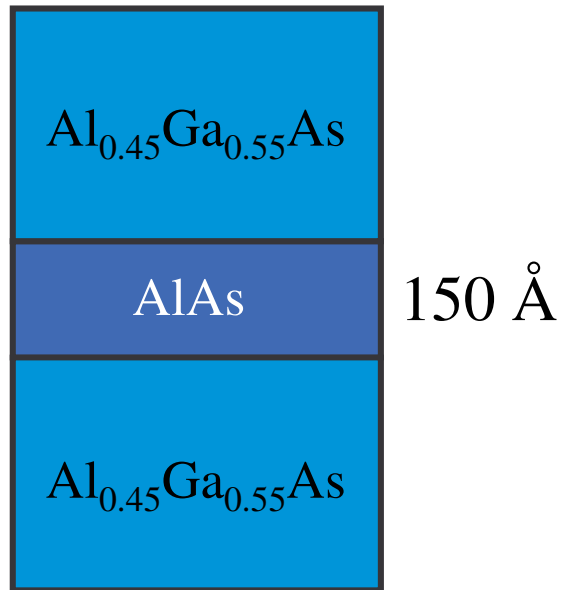
Confinement energy:

$$E_{con} = \frac{\hbar^2 k^2}{2m^*} = \frac{\hbar^2 \pi^2}{2m^* W^2}$$

$$\frac{m_H^*}{m_L^*} = 5.8$$

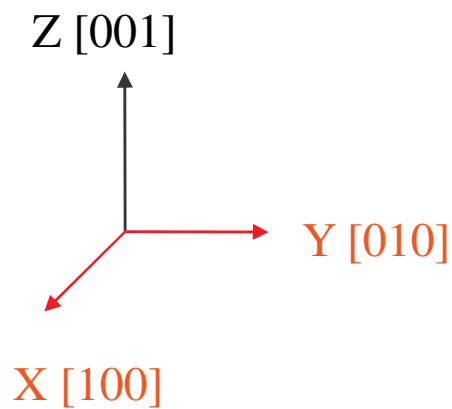
$$E_{con} (m_H) \approx 2 \text{ meV}$$

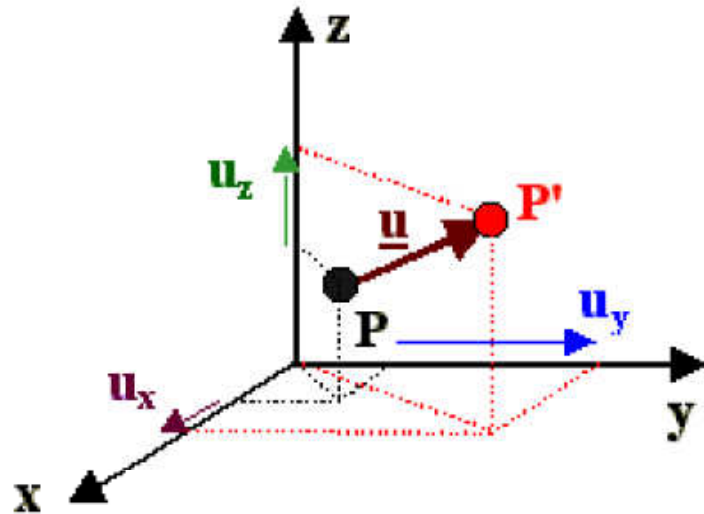




Kinetic energy:

$$E_{kin} = \frac{\hbar^2}{2} \left( \frac{k_x^2}{m_x^*} + \frac{k_y^2}{m_y^*} \right)$$





$$\epsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$$

$\underline{u}$  = displacement vector

Uniaxial strain and energy:

$$\Delta_x = \bar{E}_u \epsilon_{xx}$$

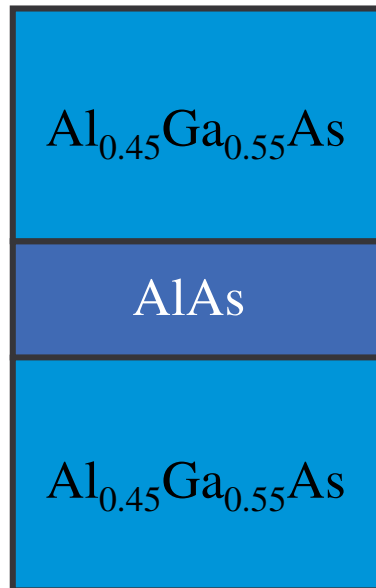
$$\Delta_y = \bar{E}_u \epsilon_{yy}$$

$$\Delta_z = \bar{E}_u \epsilon_{zz}$$

$\bar{E}_u$  = uniaxial deformation potential

Strain splitting:

$$\Delta = (\Delta_z - \Delta_x)$$

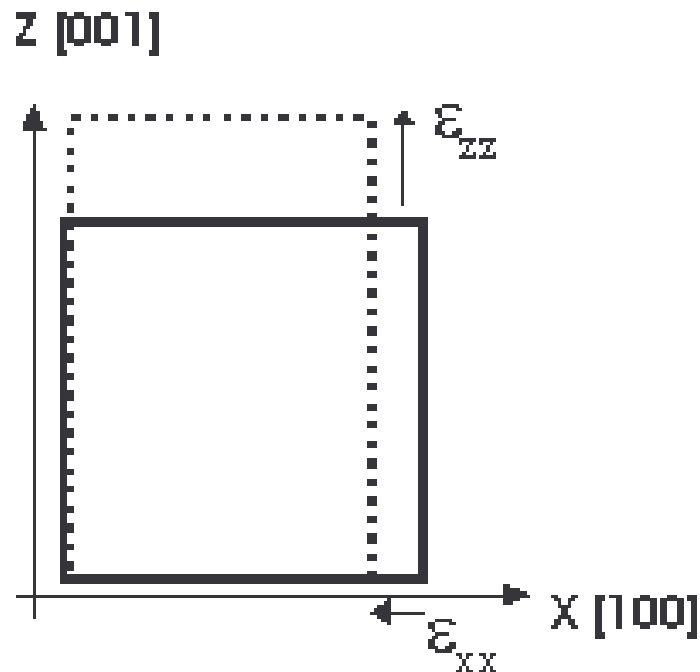


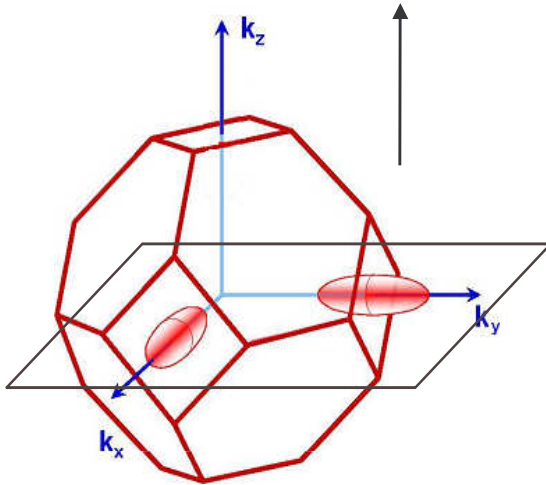
150 Å

Lattice constants: AlAs > Al<sub>0.45</sub>Ga<sub>0.55</sub>As

(0.26% @ T = 4K)

$$\Delta = (\Delta_z - \Delta_x) \approx 12 \text{ meV}$$



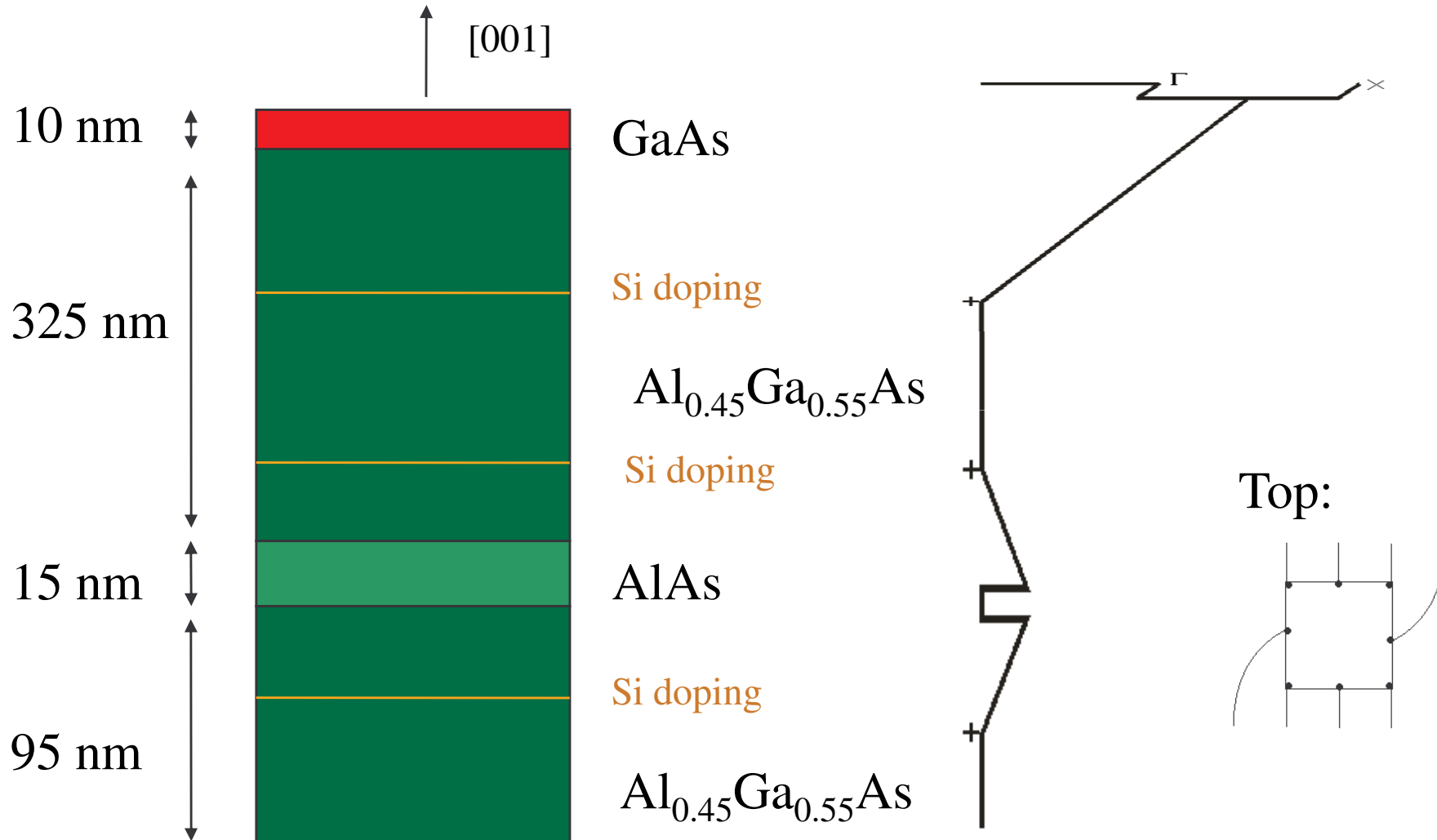


Expectation: double valley system,  
isotropic conductance

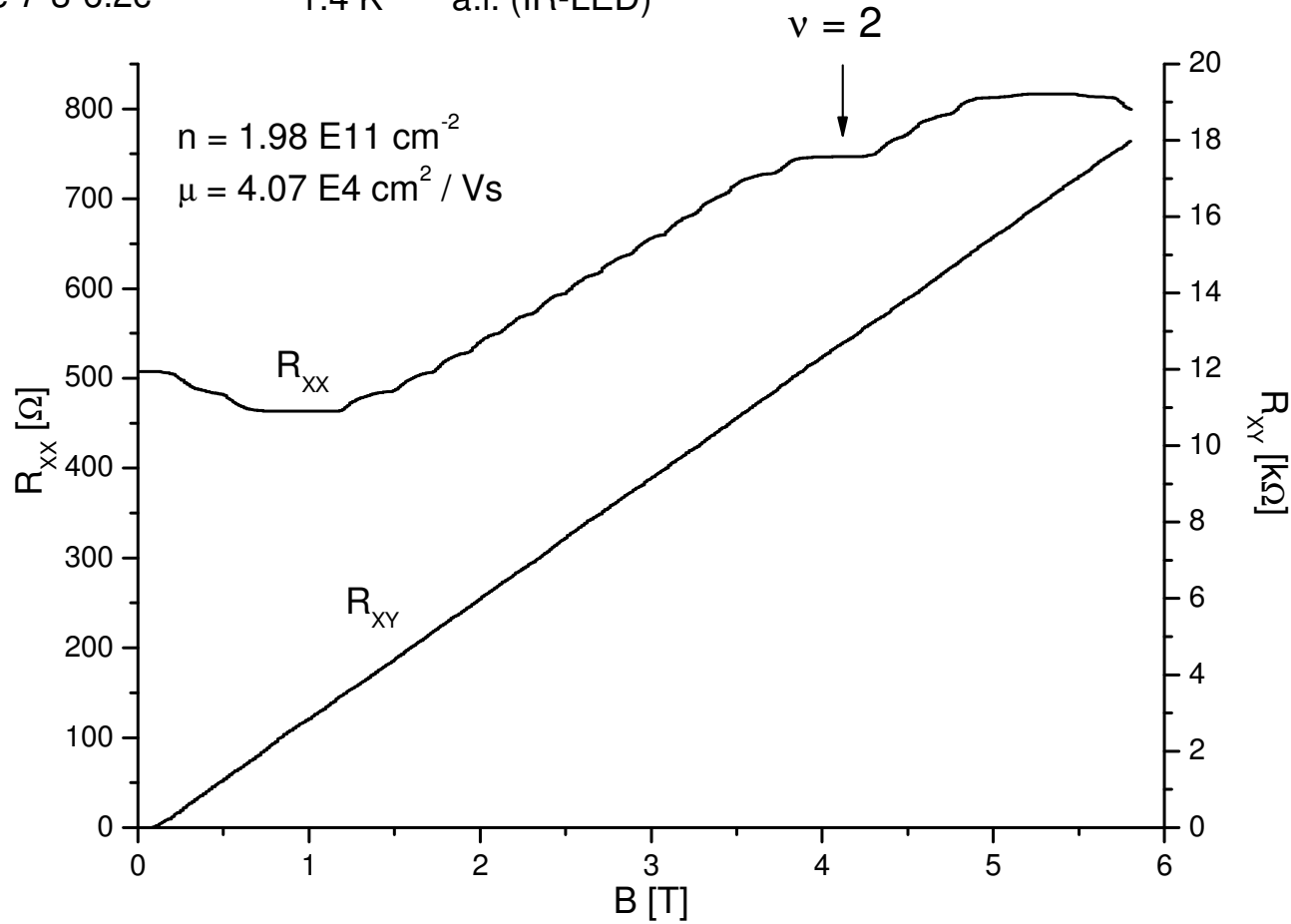
$$E_i = E_{kin} + E_{con} + \Delta_i$$

$$\begin{aligned}
 E_x &= \frac{\hbar^2}{2} \left( \frac{k_x^2}{m_H} + \frac{k_y^2}{m_L} \right) + \frac{\hbar^2 \pi^2}{2m_L W^2} + \Delta_x \\
 E_y &= \frac{\hbar^2}{2} \left( \frac{k_x^2}{m_L} + \frac{k_y^2}{m_H} \right) + \frac{\hbar^2 \pi^2}{2m_L W^2} + \Delta_y \\
 E_z &= \frac{\hbar^2}{2m_L} (k_x^2 + k_y^2) + \frac{\hbar^2 \pi^2}{2m_H W^2} + \Delta_z
 \end{aligned}$$

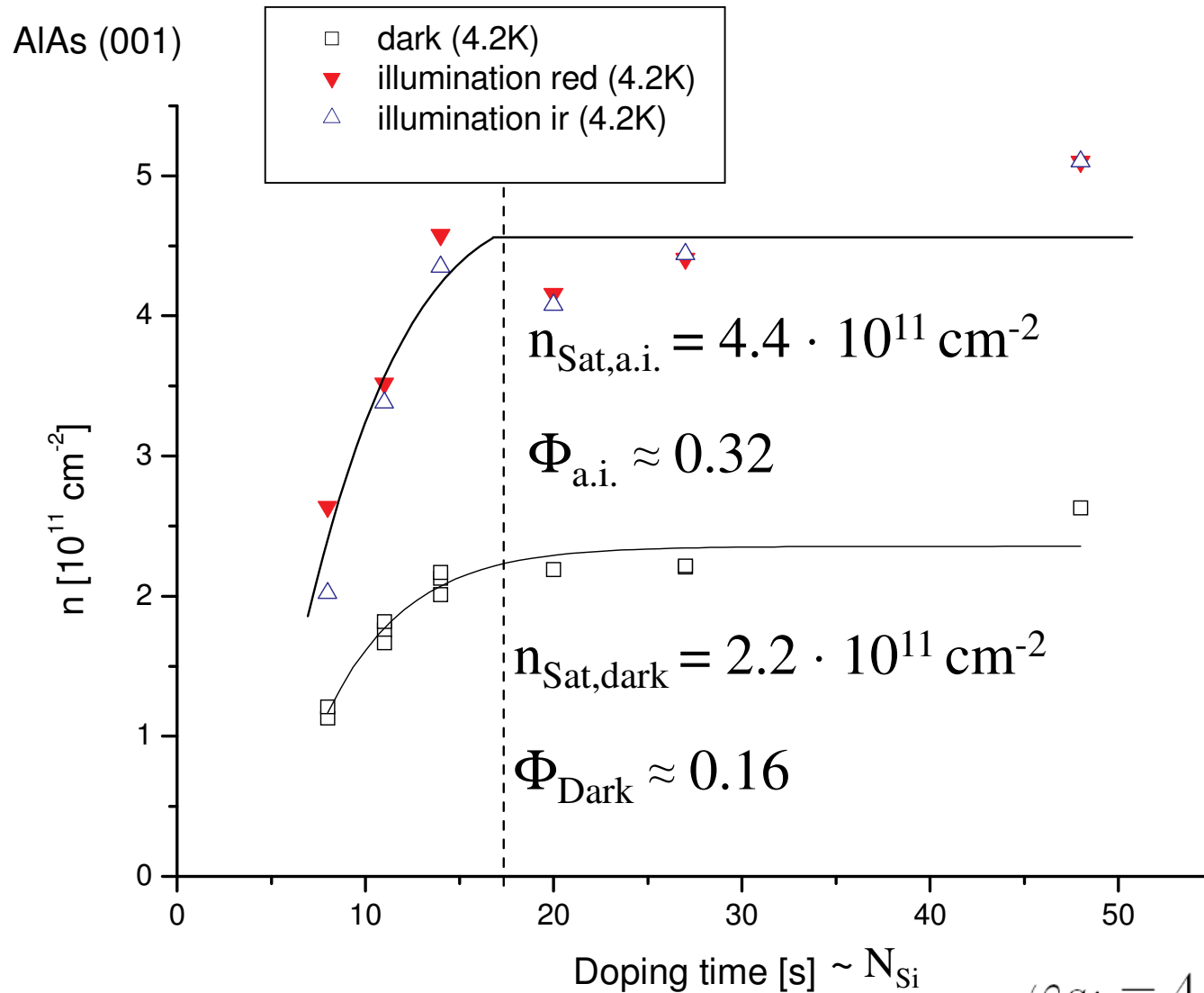




Sample 7-3-6.2c      1.4 K      a.i. (IR-LED)  
 $t_{Si} = 8s$



$$\frac{R_{xy}}{B} = \frac{1}{n \cdot e}$$



Doping efficiency:

$$\Phi = \frac{N_{\text{active}}}{N_{Si}}$$

Active donors:

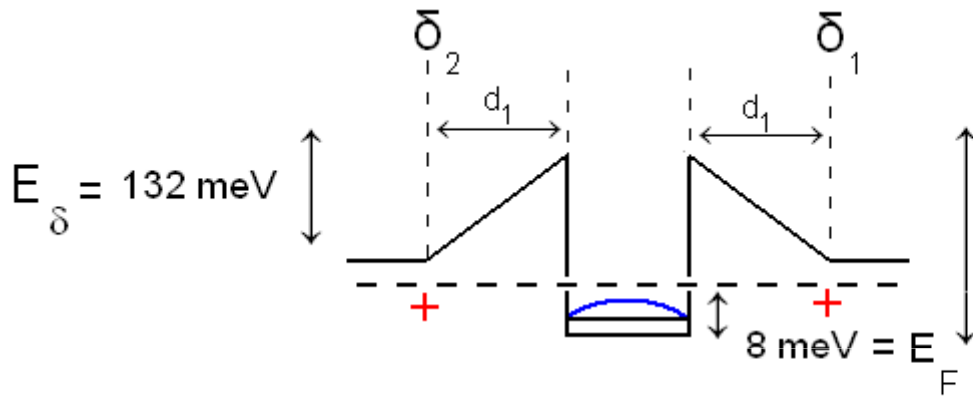
$$N_{\text{active}} = \frac{n_{\text{Sat}}}{2}$$

Si donors:

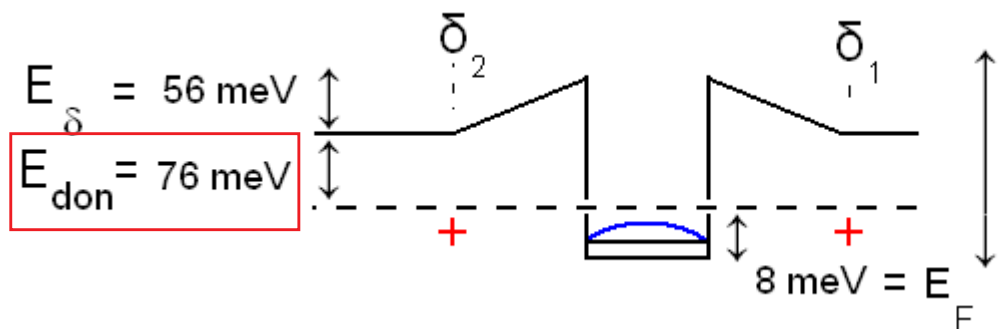
$$N_{Si} = \varphi_{Si} \cdot t_{Si}$$

$$\varphi_{Si} = 4.94 \cdot 10^{10} \text{ cm}^{-2} \text{ s}^{-1}$$

a.i.: expected  $n = 4.4 \cdot 10^{11} \text{ cm}^{-2}$

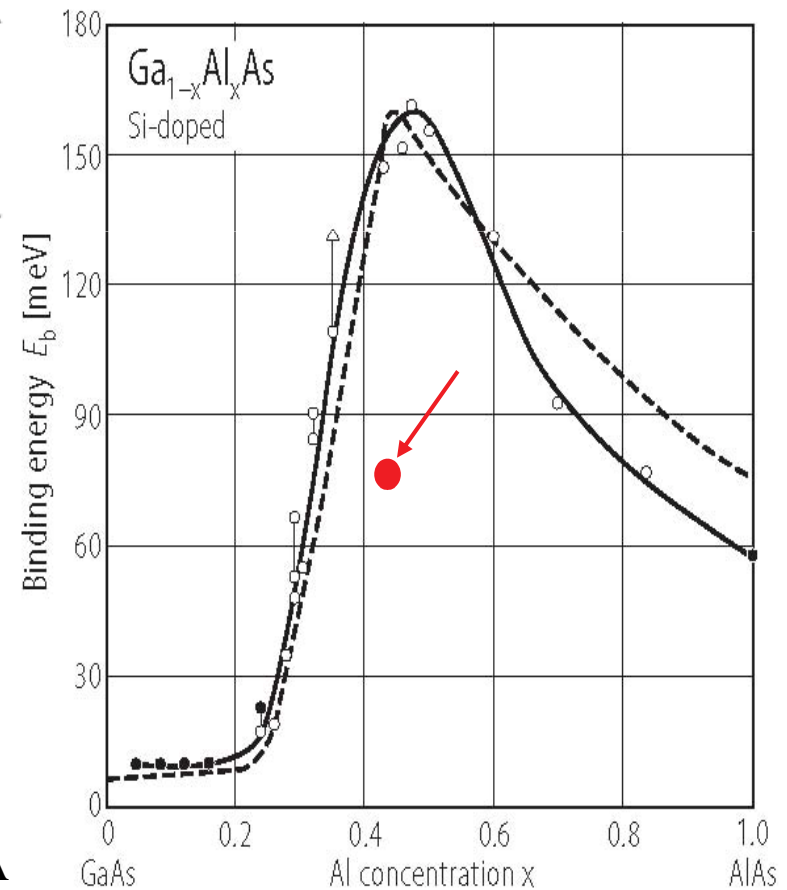


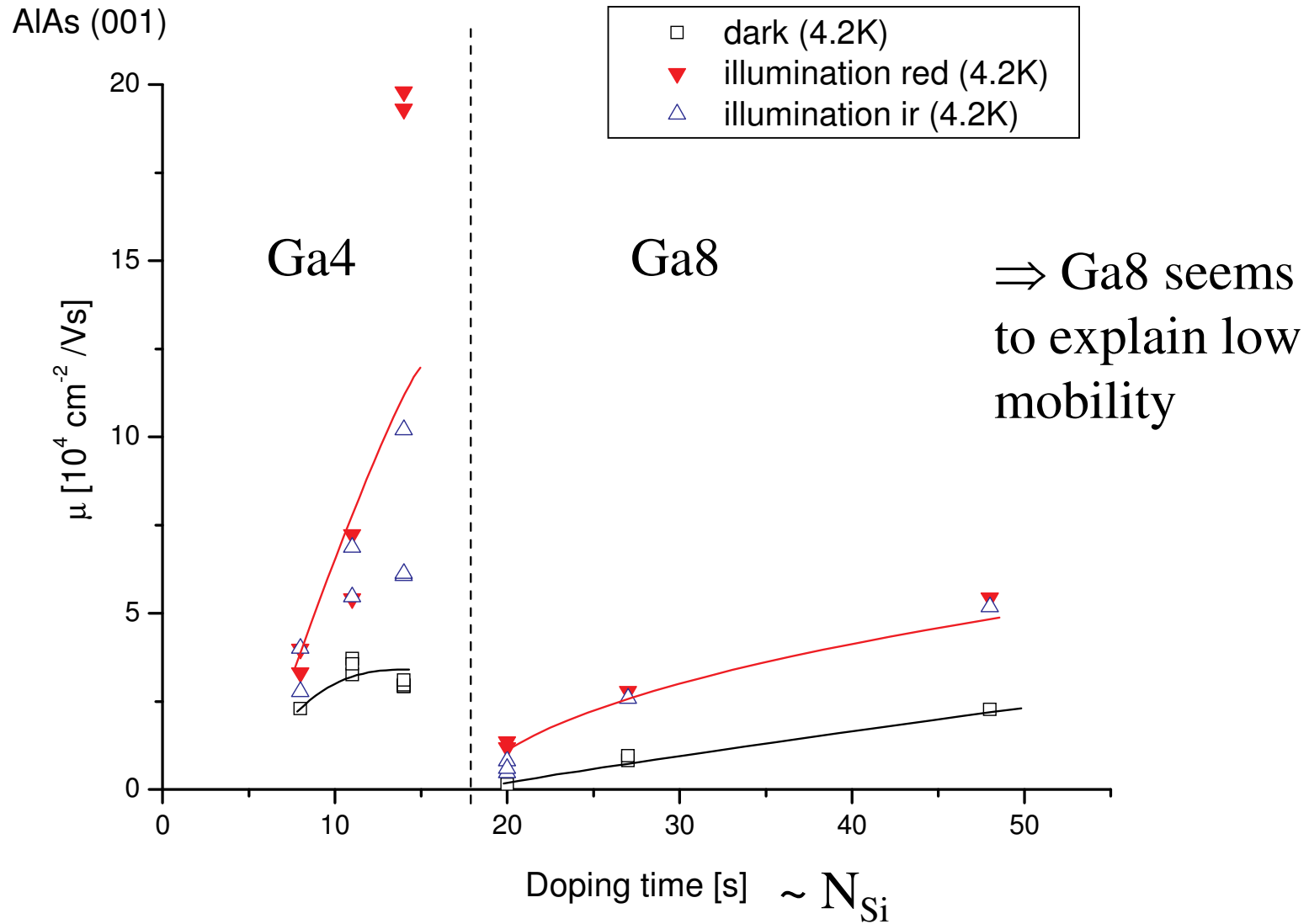
Dark:  $n_{\text{sat}} = 2.2 \cdot 10^{11} \text{ cm}^{-2}$

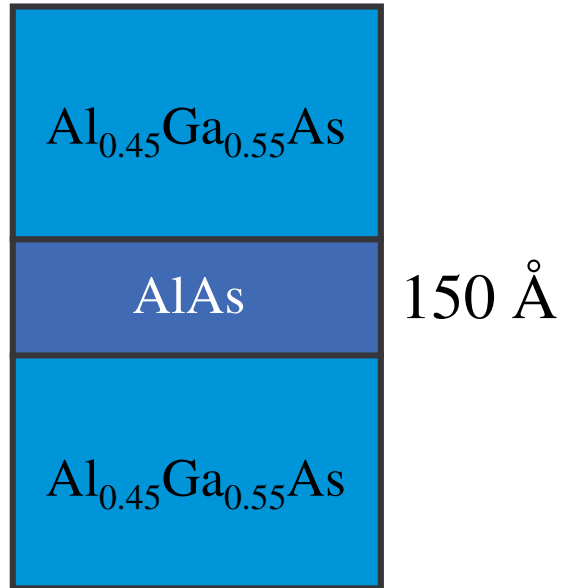


$\text{Al}_{0.45}\text{Ga}_{0.55}\text{As}$     $\text{AlAs}$     $\text{Al}_{0.45}\text{Ga}_{0.55}\text{A}$

Pavesi *et al*, JAP **75**, 4779 (1994)

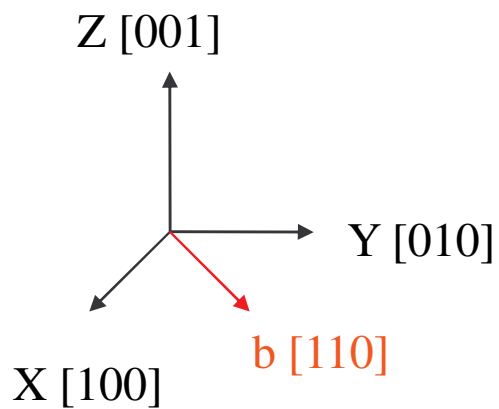


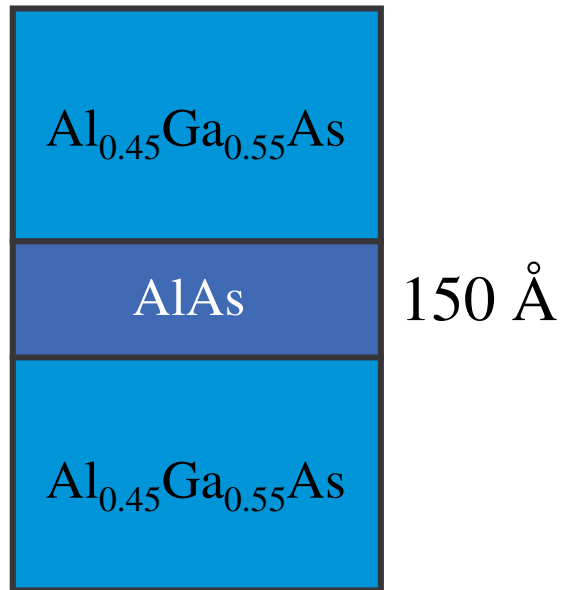




Confinement energy:

$$E_{con} = \frac{\hbar^2 k^2}{2m^*} = \frac{\hbar^2 \pi^2}{2m^* W^2}$$



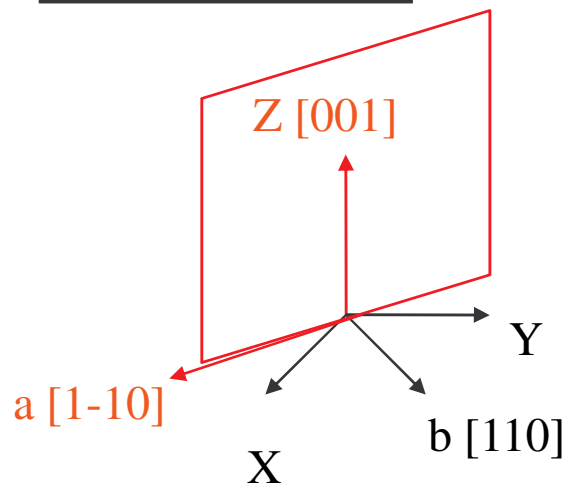


Kinetic energy:

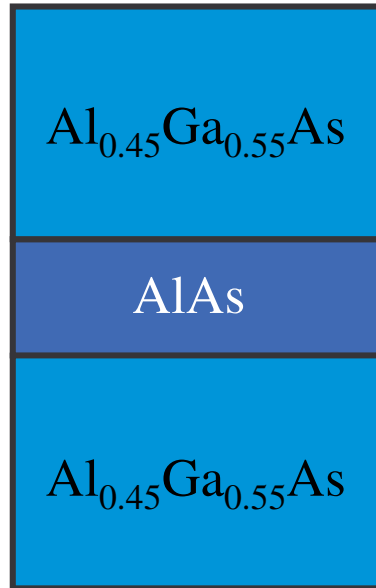
$$E_{kin} = \frac{\hbar^2}{2} \left( \frac{k_x^2}{m_H} + \frac{k_a^2}{2m_L} \right) = \frac{\hbar^2}{2} \left( \frac{k_a^2}{2m_H} + \frac{k_y^2}{m_L} \right)$$

$$= \frac{\hbar^2}{2} k_a^2 \left( \frac{m_H + m_L}{2m_H m_L} \right)$$

$$m_a = \frac{2m_H m_L}{m_H + m_L}$$

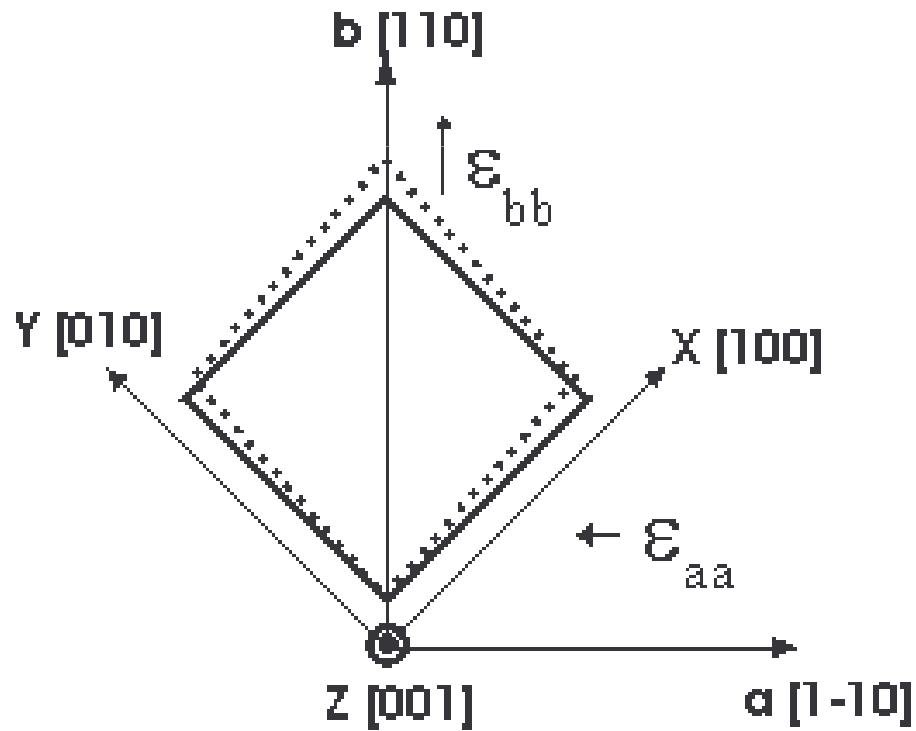


$$(k_x, k_y) = \left( \frac{k_a}{2}, \frac{k_a}{2} \right)$$

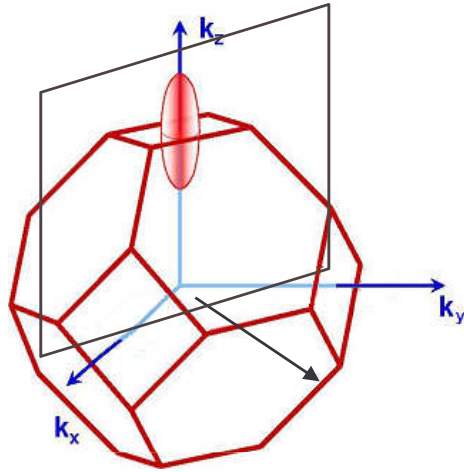


150 Å

Lattice constants:  $\text{AlAs} > \text{Al}_{0.45}\text{Ga}_{0.55}\text{As}$   
 (0.26% @ T = 4K)





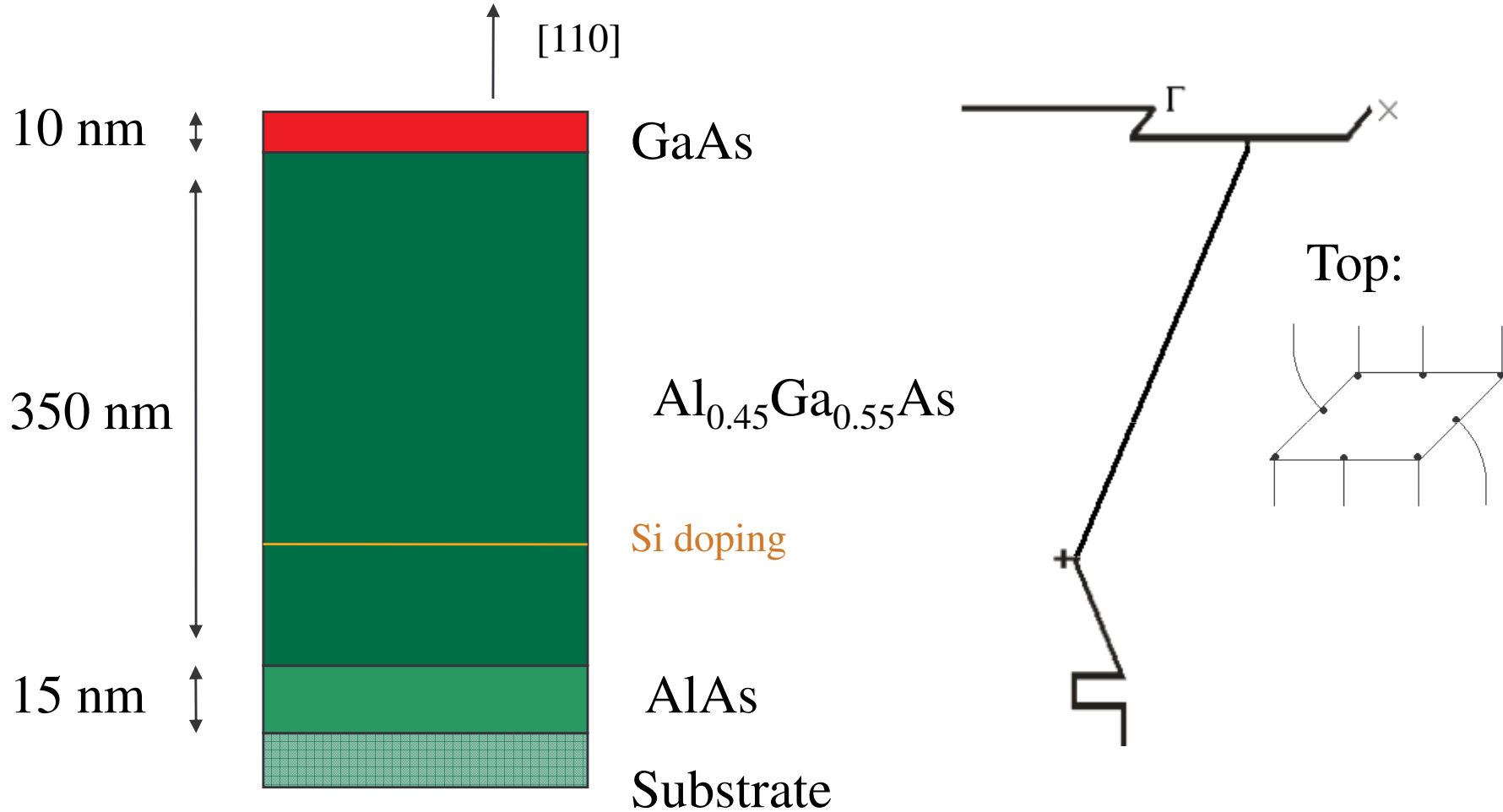


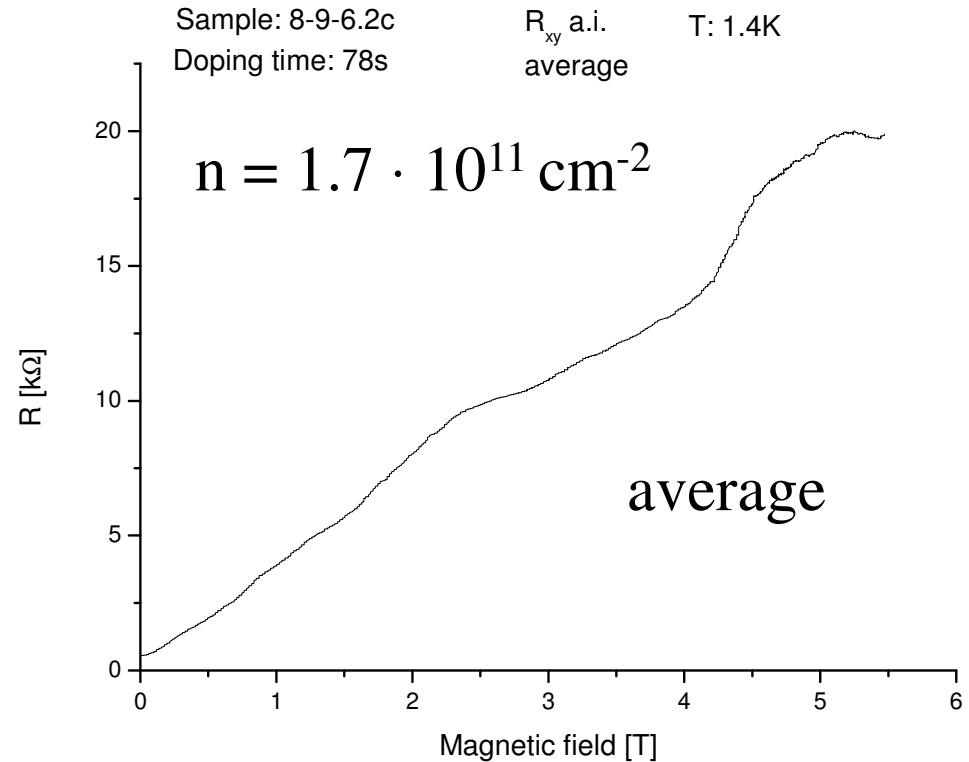
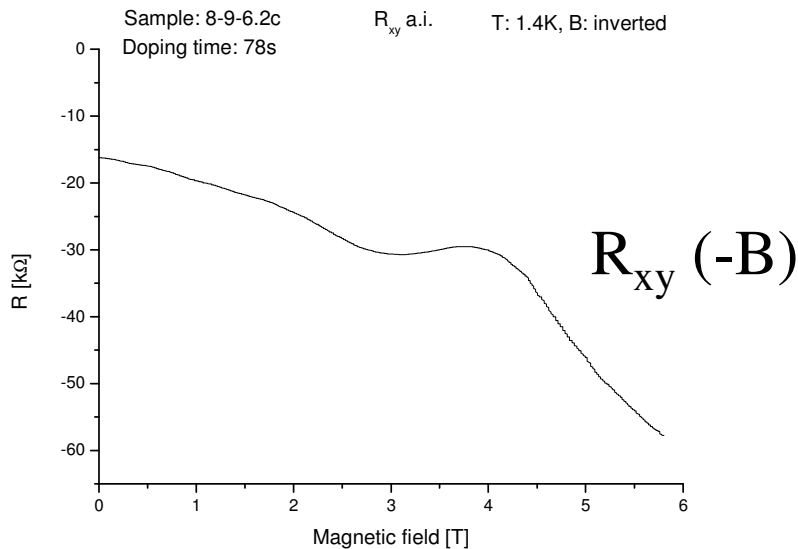
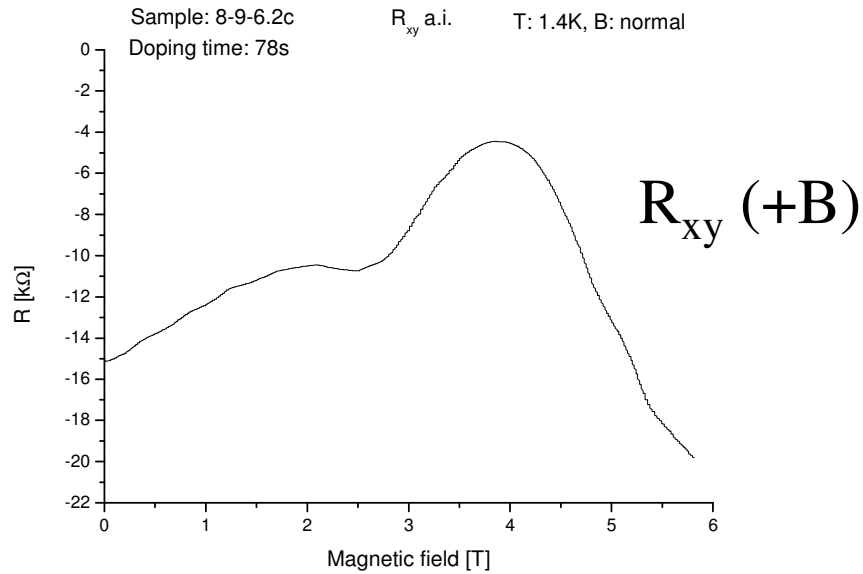
Expectation: single valley system,  
anisotropic conductance

$$E_i = E_{kin} + E_{con} + \Delta_i$$

$$E_z = \frac{\hbar^2}{2} \left( \frac{k_z^2}{m_H} + \frac{k_a^2}{m_L} \right) + \frac{\hbar^2 \pi^2}{2m_L W^2} + \Delta_z$$

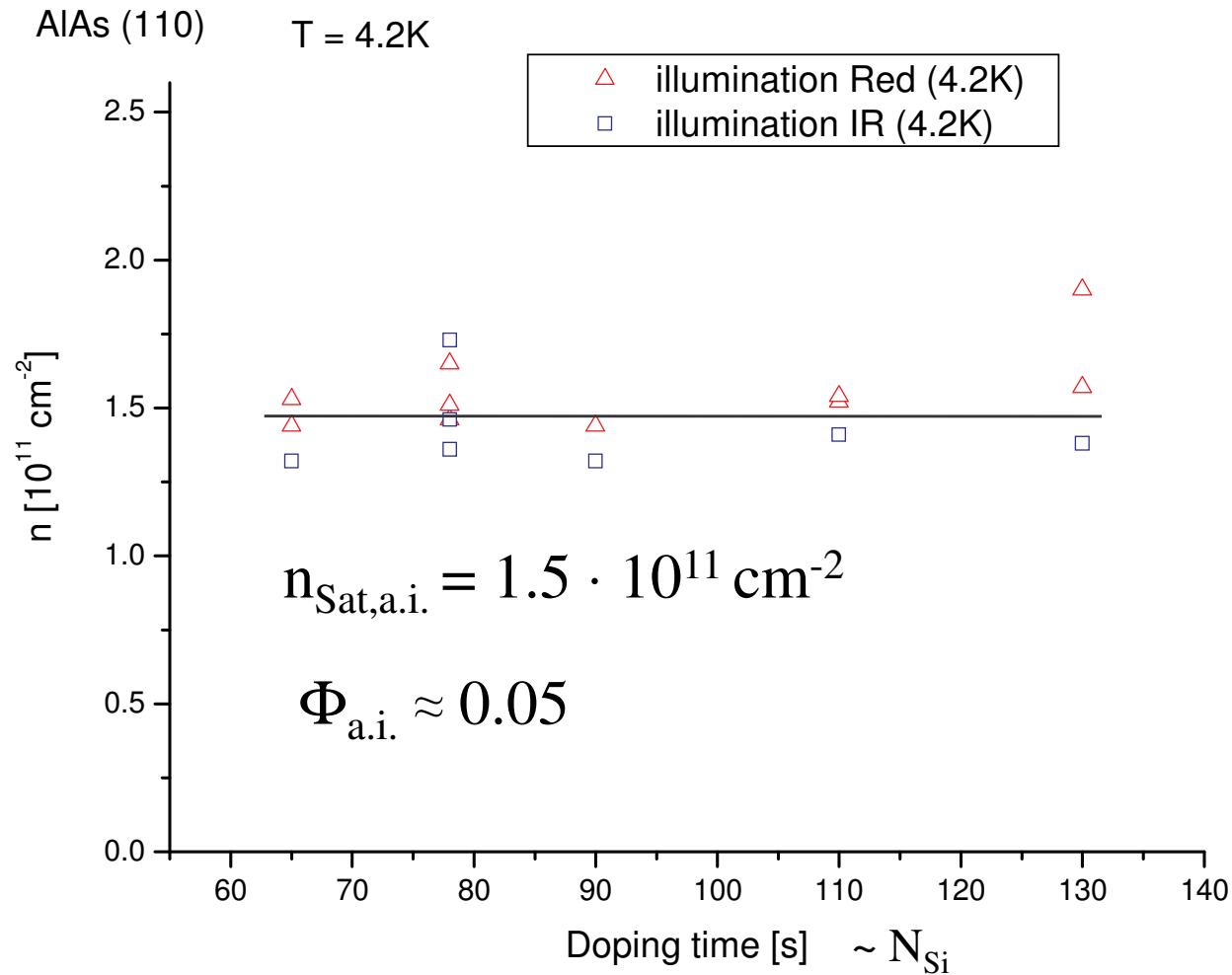
$$E_y = E_x = \frac{\hbar^2}{2} \left( \frac{k_z^2}{m_L} + \frac{k_a^2}{m_a} \right) + \frac{\hbar^2 \pi^2}{2m_L W^2} + \Delta_{x,y}$$





$$R_H = \frac{R_{xy}(+B) - R_{xy}(-B)}{2} \cdot \frac{1}{B}$$

$$\frac{R_{xy}}{B} = \frac{1}{n \cdot e} = R_H$$



Doping efficiency:

$$\Phi = \frac{N_{\text{active}}}{N_{\text{Si}}}$$

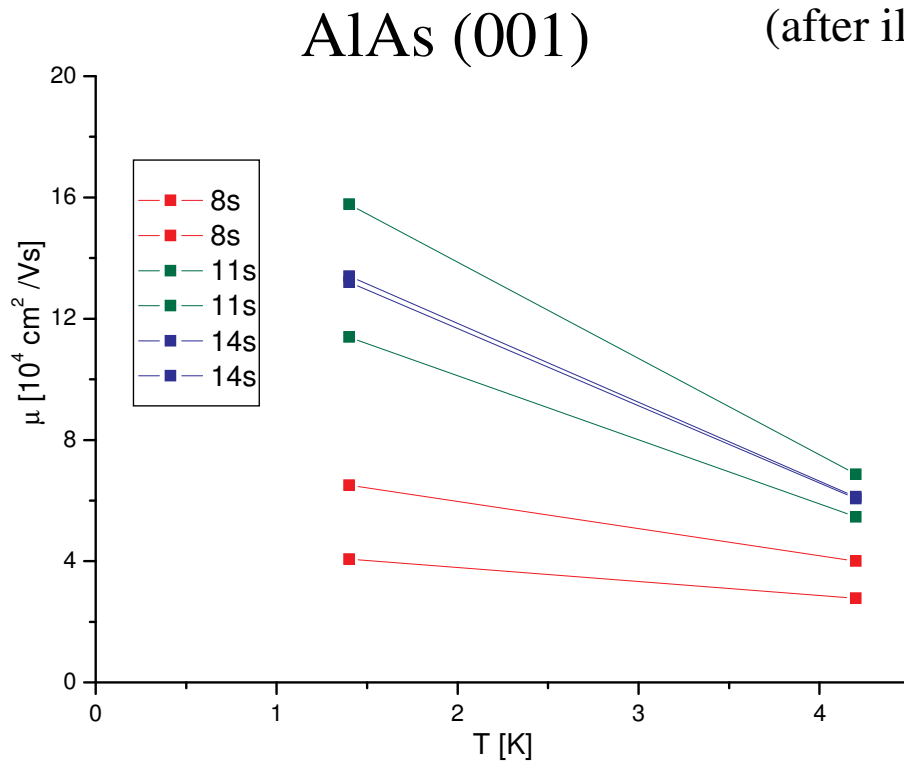
Active donors:

$$N_{\text{active}} = n_{\text{Sat}}$$

Si donors:

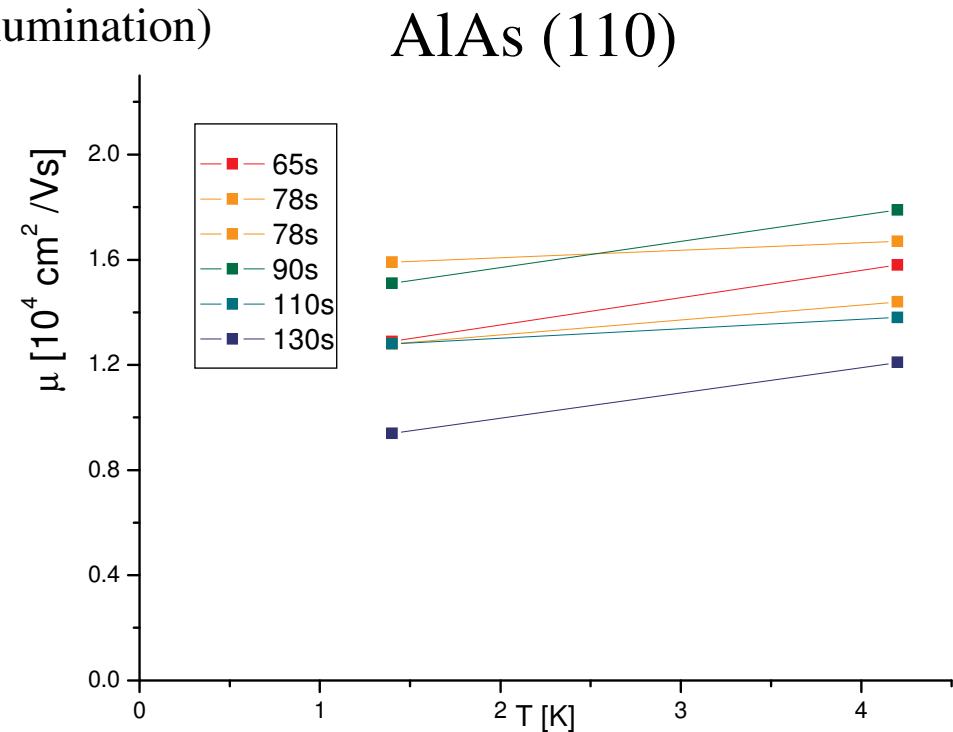
$$N_{\text{Si}} = \varphi_{\text{Si}} \cdot t_{\text{Si}}$$

$$\varphi_{\text{Si}} = 4.94 \cdot 10^{10} \text{ cm}^{-2} \text{ s}^{-1}$$



Temperature falls -  
mobility rises

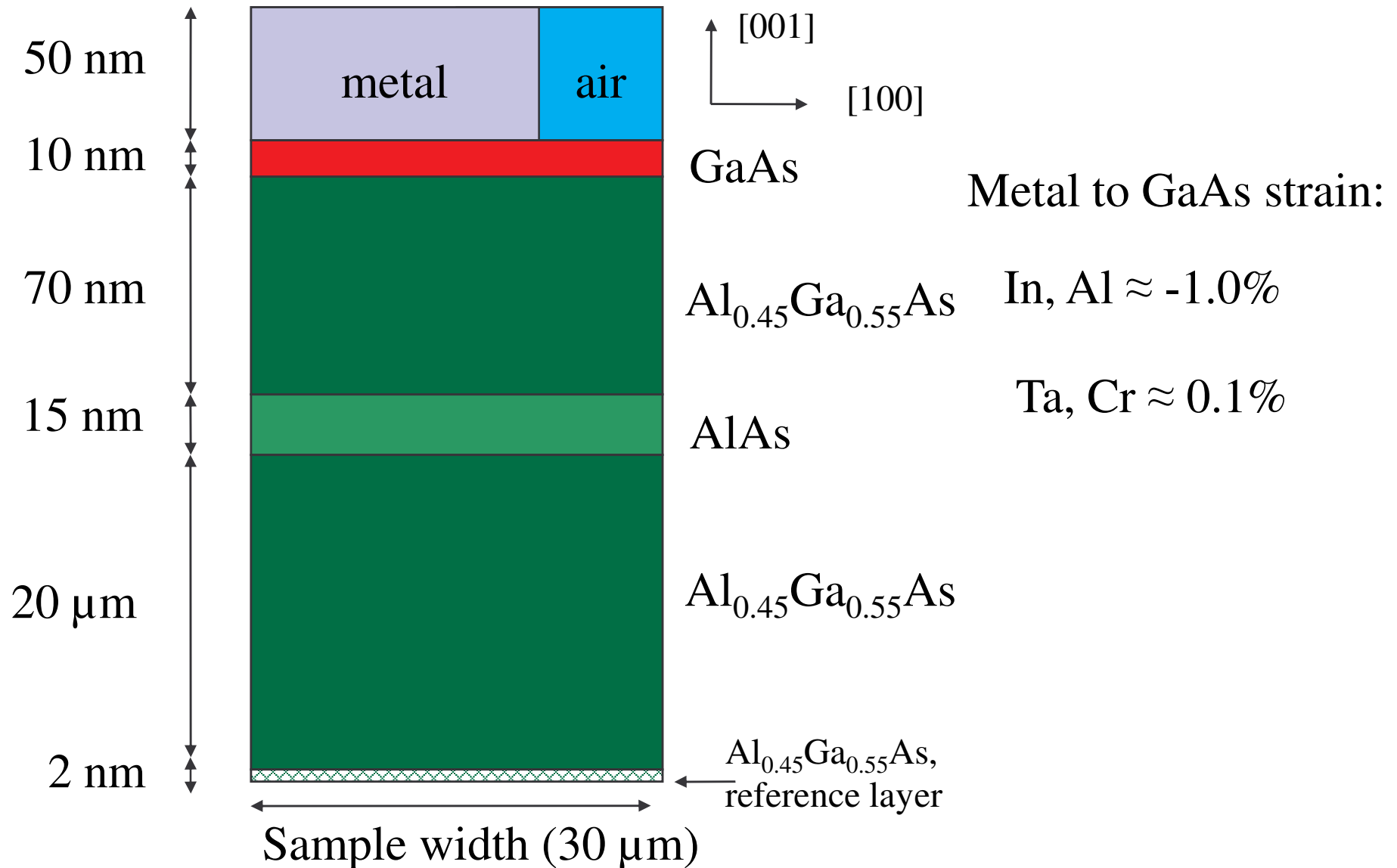
Double valley:  $\mu = \mu_{xx} = \mu_{yy}$

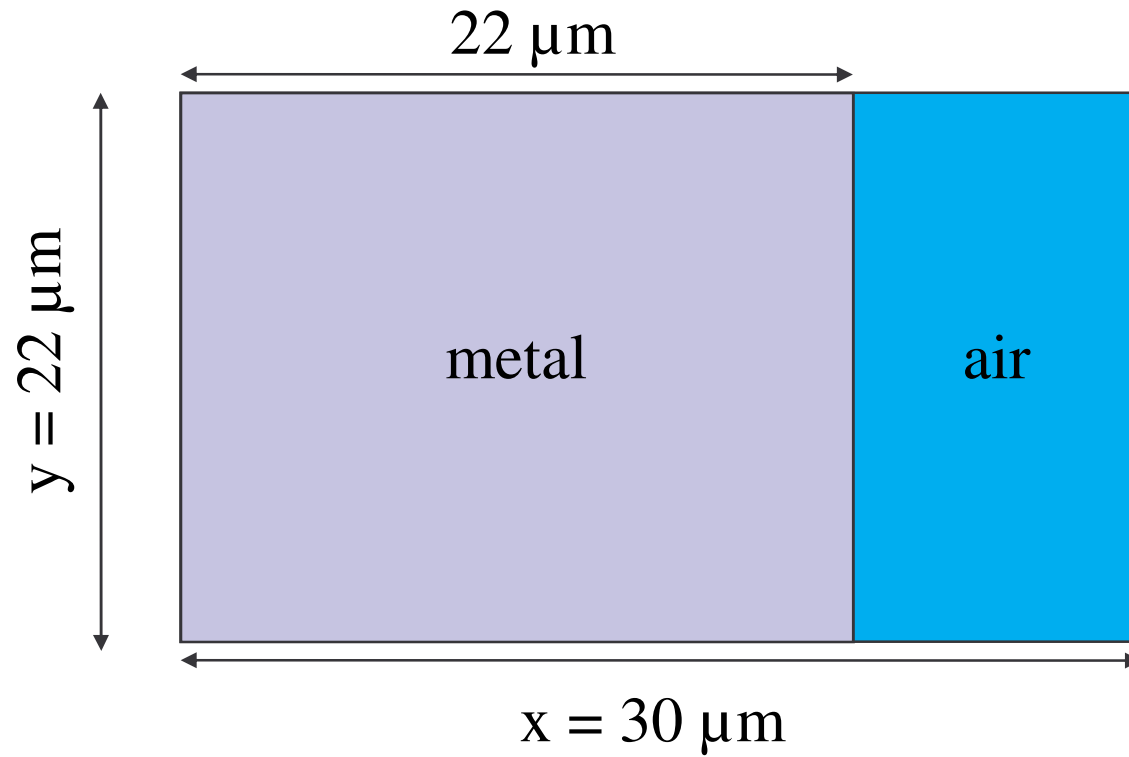


Temperature falls -  
mobility falls

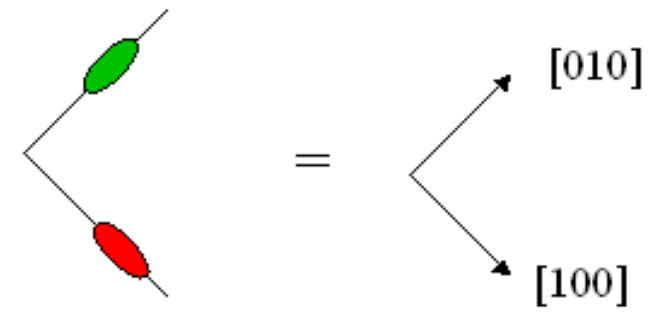
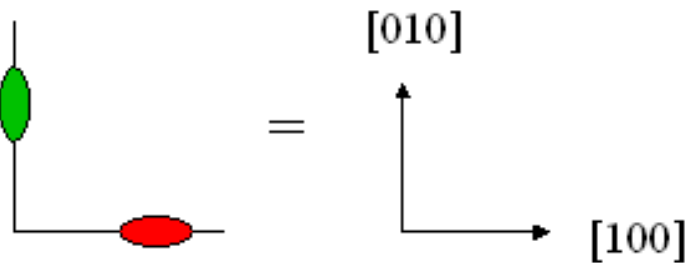
Single valley:  $\mu = \sqrt{\mu_{xx}\mu_{yy}}$

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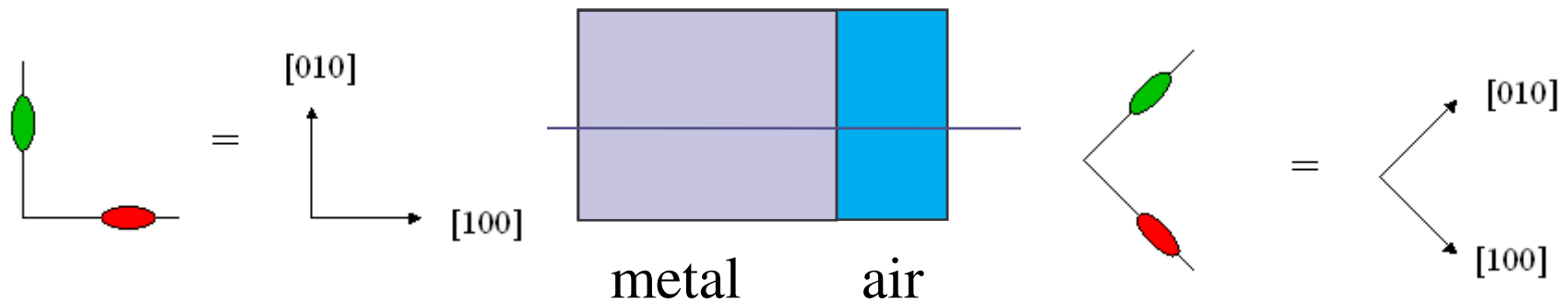
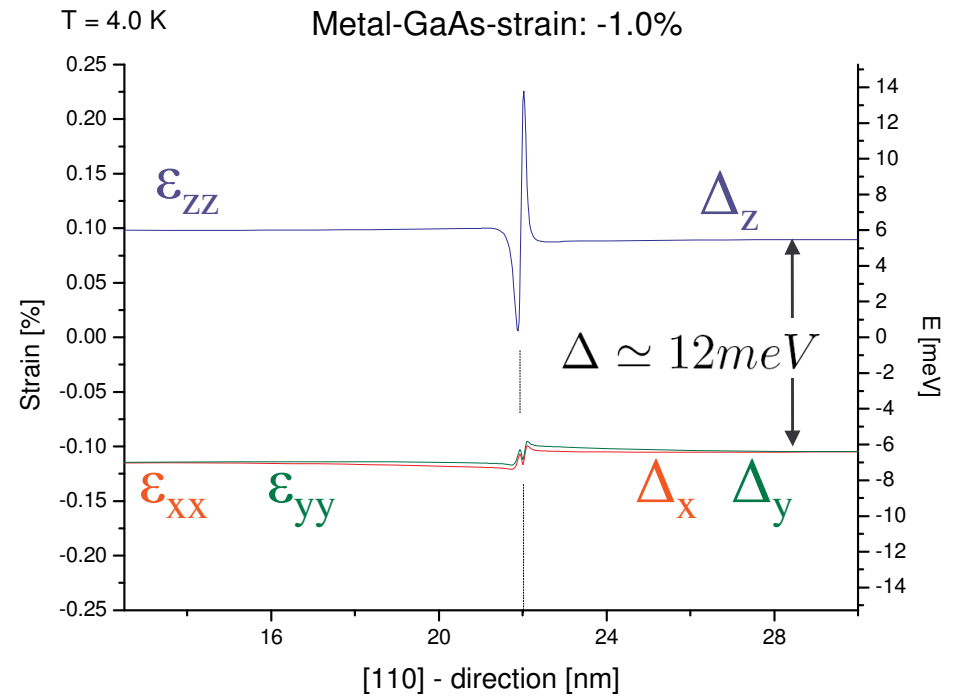
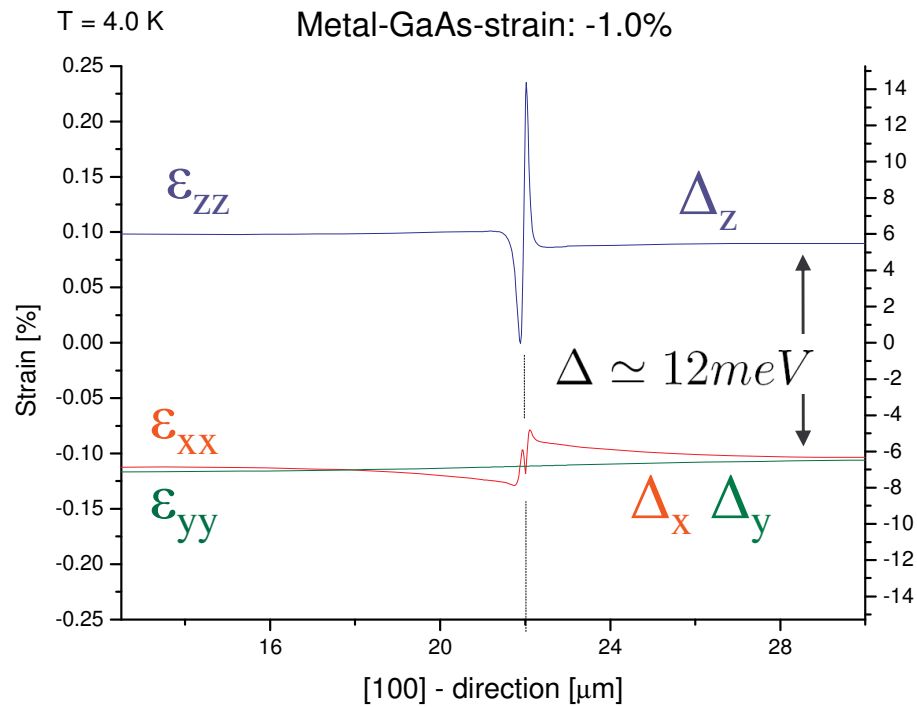


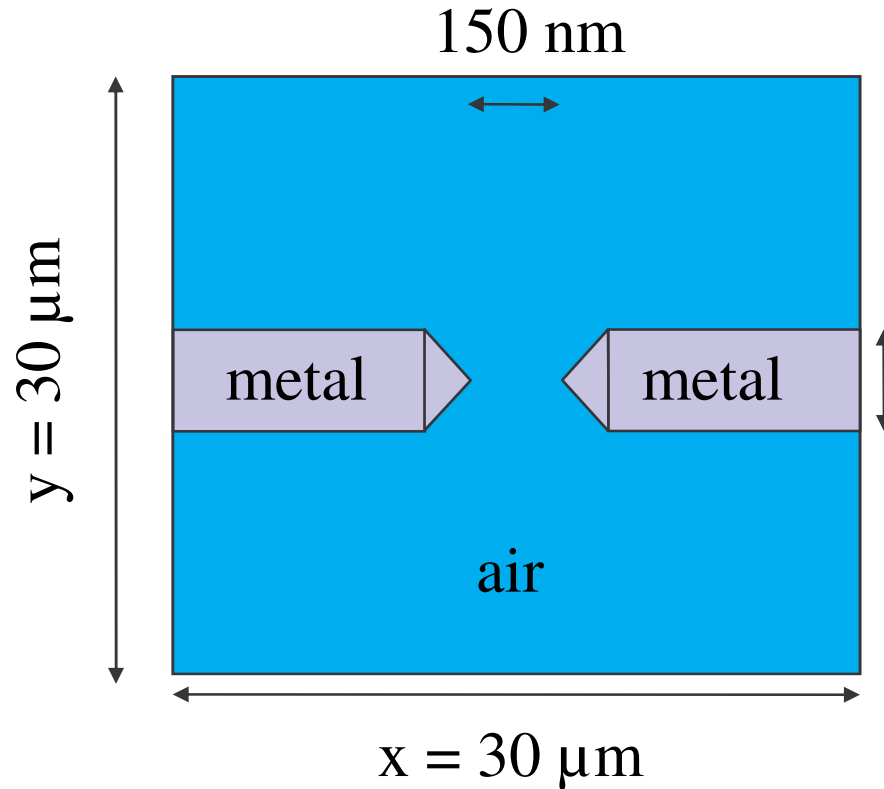


Structure: metal - air



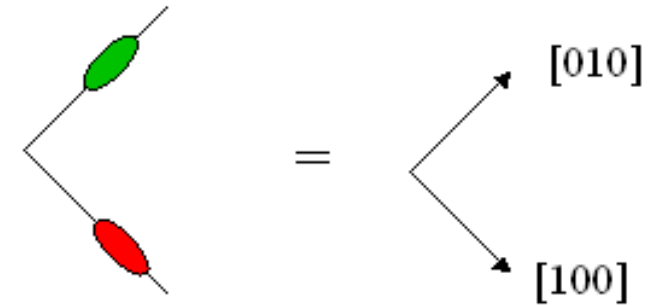
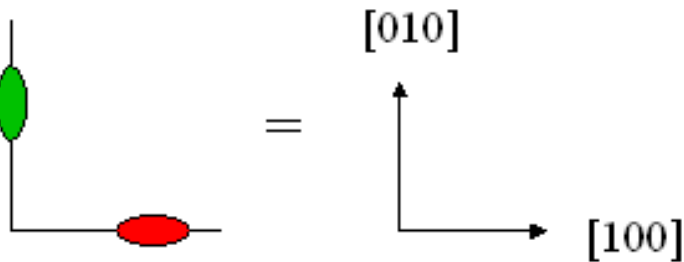


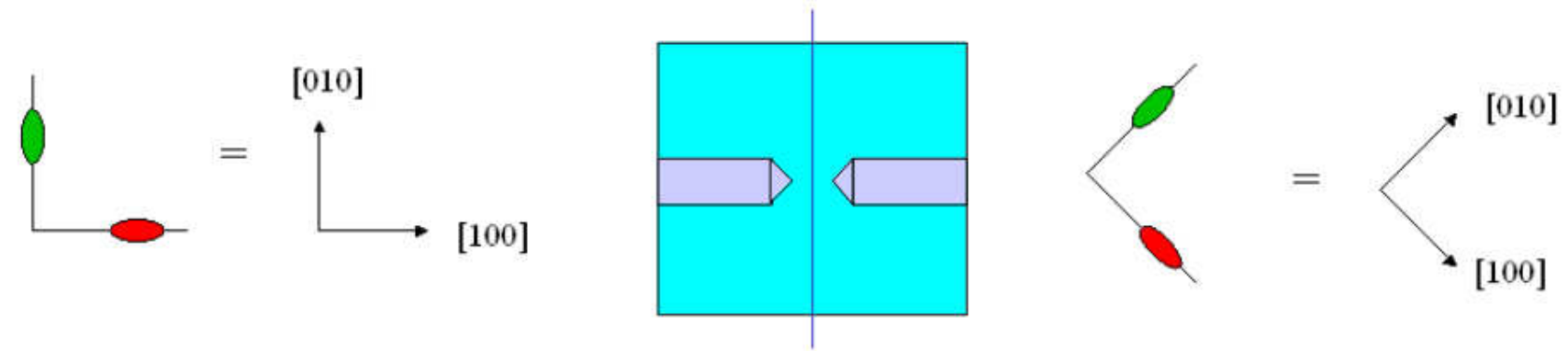
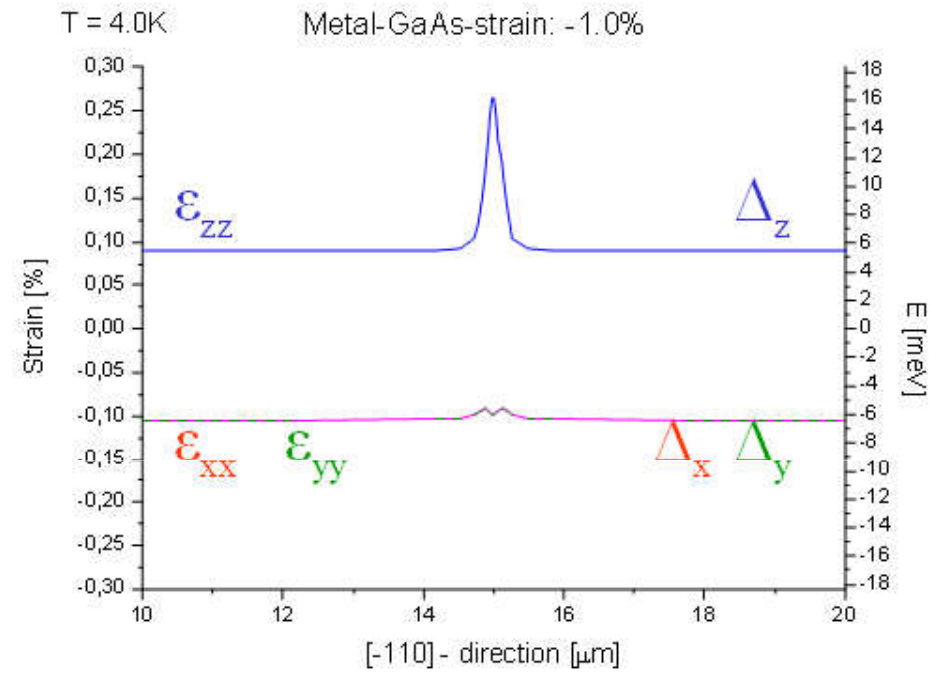
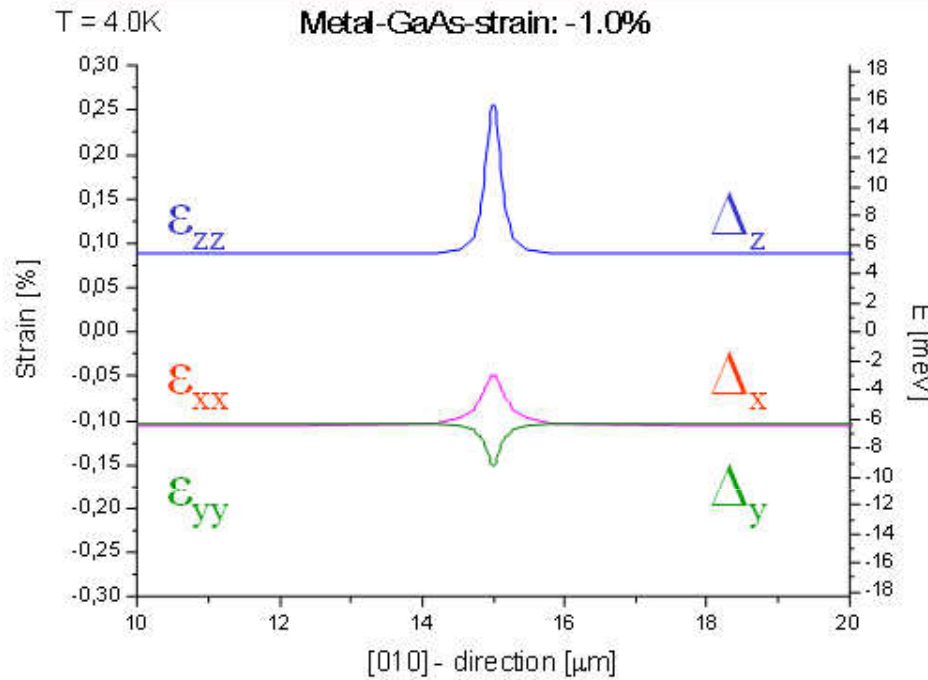


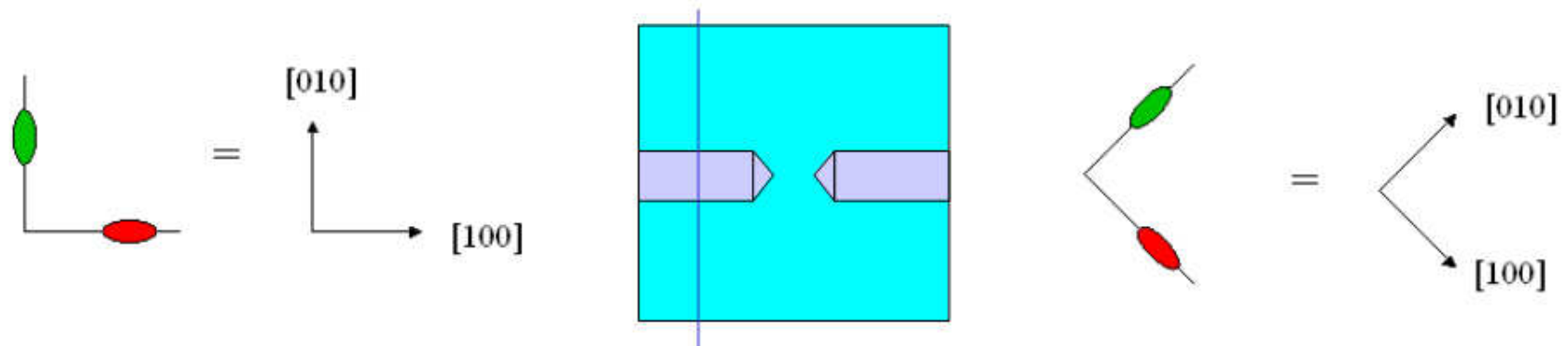
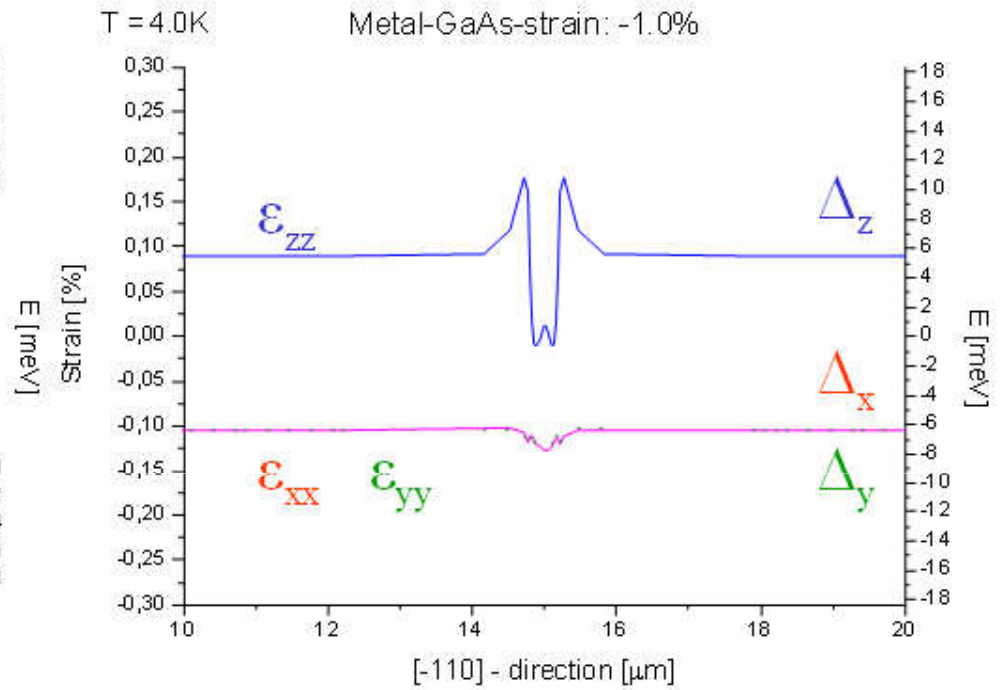
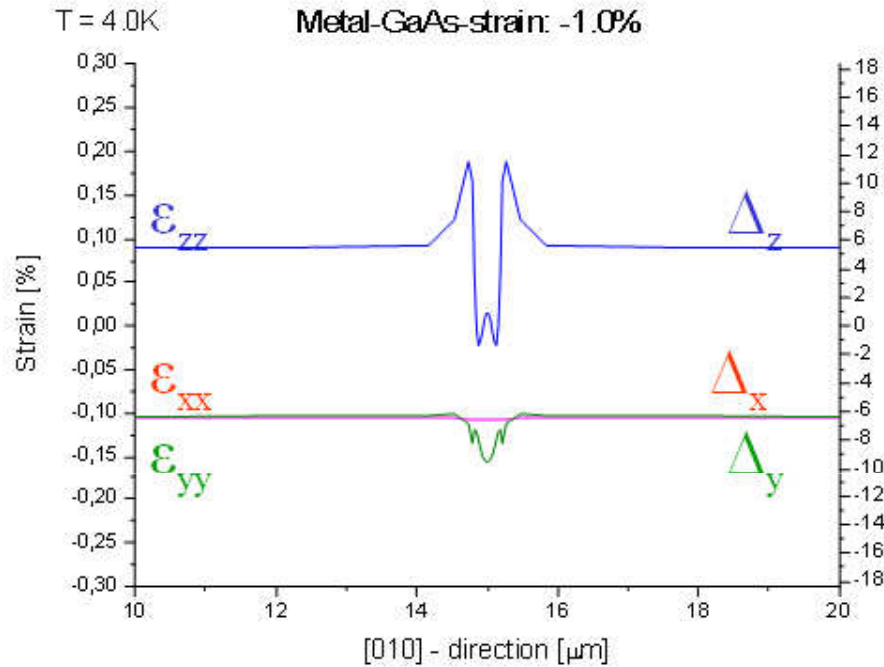


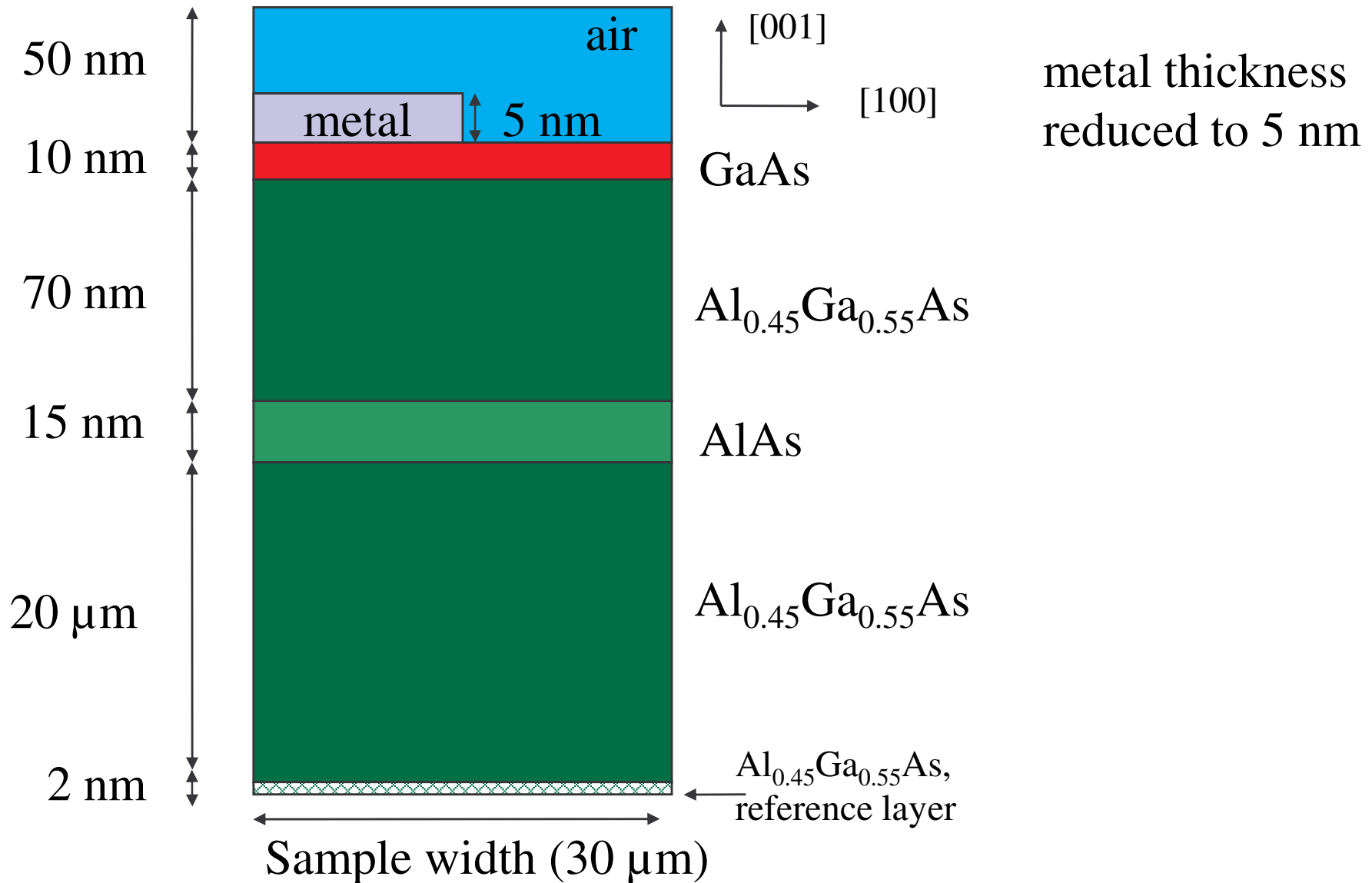
Shape: needle

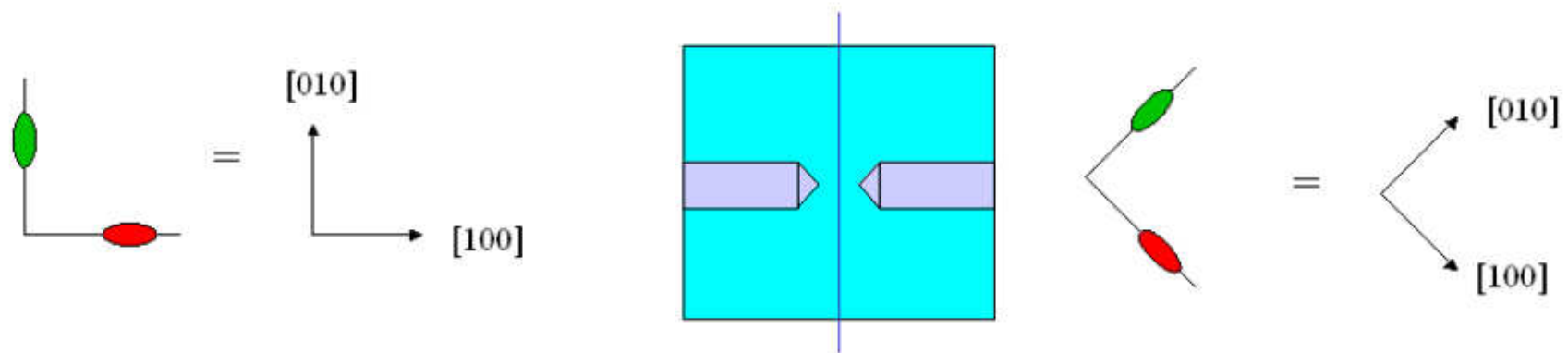
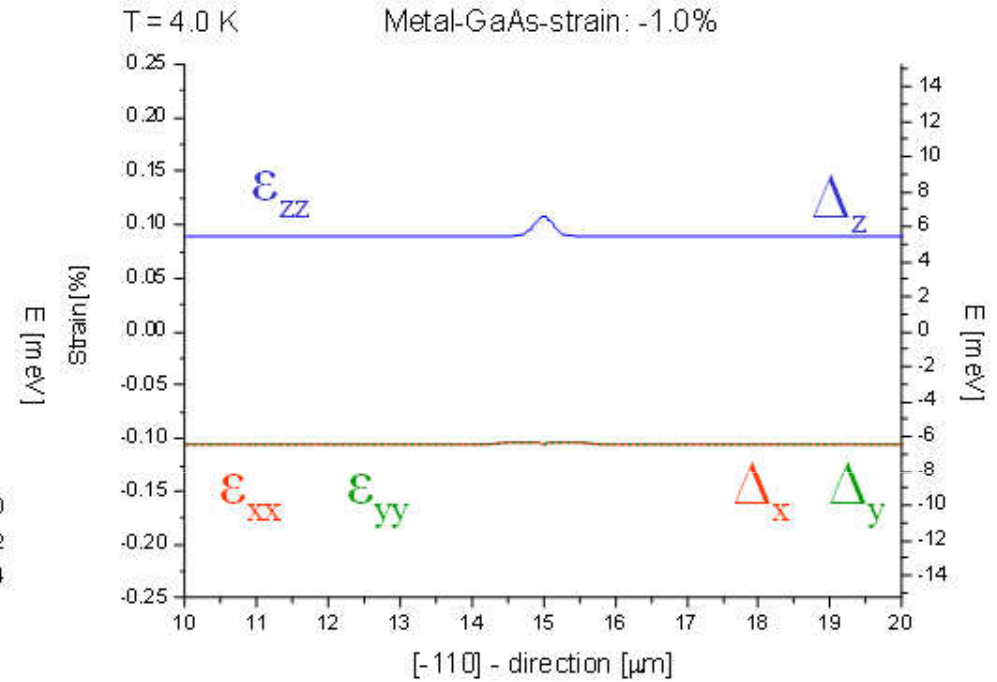
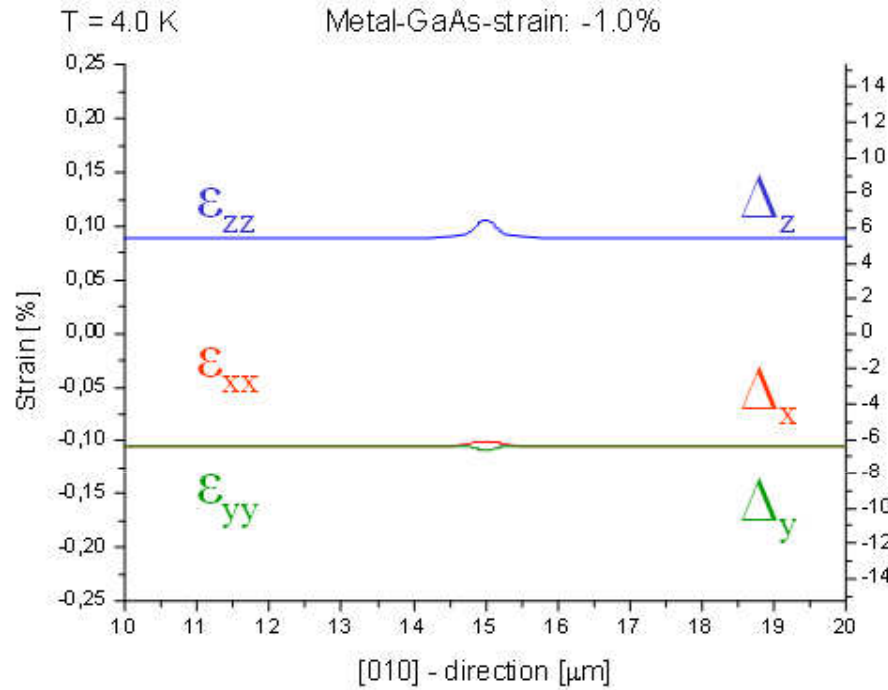
Does strain help or hurt selecting valleys between splitgate?











- Strain alone cannot make a valley QPC filter
- Strain and electrostatics can make one
- $\Rightarrow$  Strain is important
- measurements in AlAs QWs show interesting dependence of  $\mu$  on T

## Future Work

- L-hallbars on (110) AlAs - determine  $\mu_{xx}$ ,  $\mu_{yy}$
- Grow (110) AlAs with doping time  $< 65$ s
- Grow (110) AlAs with different structures
- Experimental verification of strain simulations

E24:

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Max Bichler, Anna Fontcuberta i Morral, Joel Moser  
All members of the „transport group“

T33:

Peter Vogl, Tobias Zibold, Stefan Birner (nextnano<sup>3</sup>)



01 BM 470

